

08/28/01  
JC944 U.S. PTO

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PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**UTILITY PATENT APPLICATION TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

1C944-U.S.PTO  
09/941969**Attorney Docket No.** 005642.P002  
(maximum 12 characters)**First Named Inventor** Yuri Stivelman**Title:** METHOD AND SYSTEM FOR COMPLETING INCOMPLETE ADDRESSING DATA SENT TO A COMMUNICATION DEVICE**Express Mail Label No.** EL672747111US**ADDRESS TO:** Assistant Commissioner for Patents  
Box Patent Application  
Washington, D. C. 20231**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1.  **Fee Transmittal Form (e.g., PTO/SB/17)**  
(Submit an original, and a duplicate for fee processing)
2.  **Applicant Claims Small Entity Status. (37 CFR 1.27)**
3.  **Specification (Total Pages 16)**  
(preferred arrangement set forth below)
  - Descriptive Title of the Invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4.  **Drawings(s) (35 USC 113) (Total Sheets 4)**
5.  **Oath or Declaration (Total Pages 6)**
  - a.  Newly Executed (Original or Copy)
  - b.  Copy from a Prior Application (37 CFR 1.63(d))  
(for Continuation/Divisional with Box 17 completed)
    - i.  **DELETIONS OF INVENTOR(S)** Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
    - c.  Unsigned.
6.  **Application Data Sheet. (37 CFR 1.76)**
7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8.  Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a.  Computer Readable Form (CRF)
  - b.  Specification Sequence Listing on:
    - i.  CD-ROM or CD-R (2 copies); or
    - ii.  paper
- c.  Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. \_\_\_\_\_ **Assignment Papers (cover sheet & documents(s))**  
10. \_\_\_\_\_ a. Separate 37 CFR 3.73(b) Statement (where there is an assignee)  
\_\_\_\_\_ b. Power of Attorney  
11. \_\_\_\_\_ English Translation Document (if applicable)  
12. \_\_\_\_\_ a. Information Disclosure Statement (IDS)/PTO-1449  
\_\_\_\_\_ b. Copies of IDS Citations  
13. \_\_\_\_\_ **Preliminary Amendment**  
14. \_\_\_\_\_ **Return Receipt Postcard (MPEP 503) (Should be specifically itemized)**  
15. \_\_\_\_\_ Certified Copy of Priority Document(s) (if foreign priority is claimed)  
16. \_\_\_\_\_ **Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.**  
17.  Other: Copy of Postcard with Express Mail Certificate of Mailing

**18A. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:**

Continuation       Divisional       Continuation-in-part (CIP)  
Of Prior Application No.: \_\_\_\_\_ Examiner \_\_\_\_\_ Group Art Unit 2835

Applicant(s): Also include a Preliminary Amendment to amend the specification to claim priority.  
For CONTINUATION AND DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**18B. Statement under 37 CFR 3.73(b) for continuing application:**

The undersigned states that \_\_\_\_\_ (name of assignee) is the assignee of the entire right, title, and interest in the accompanying patent application by virtue of an assignment recorded in the Patent and Trademark Office at Reel No. \_\_\_\_\_ Frame No. \_\_\_\_\_ (or a copy of which is attached).

**19. Correspondence Address**

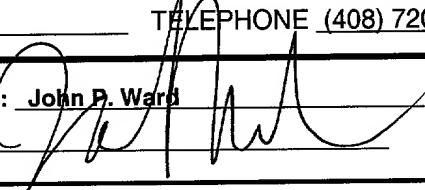
Customer Number or Bar Code Label \_\_\_\_\_  
or \_\_\_\_\_ (Insert Customer No. or Attach Bar Code Label here)  
 Correspondence Address Below

NAME John P. Ward  
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

ADDRESS 12400 Wilshire Boulevard  
Seventh Floor

CITY Los Angeles STATE California ZIP CODE 90025-1026

Country U.S.A. TELEPHONE (408) 720-8300 FAX (408) 720-9397

Name (PRINT/TYPE): John P. Ward Registration No.: 40,216  
Signature:  Date: 8/28/01

**FEE TRANSMITTAL FOR FY 2001****TOTAL AMOUNT OF PAYMENT (\$)** 728.00**Complete if Known:**

Application No. Not Yet Assigned  
 Filing Date Herewith  
 First Named Inventor Yuri Stivelman  
 Group Art Unit Not Yet Assigned  
 Examiner Name Not Yet Assigned  
 Attorney Docket No. 005642.P002

**METHOD OF PAYMENT** (check one)

1.  The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 02-2666  
 Deposit Account Name \_\_\_\_\_

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

Applicant claims small entity status. See 37 CFR 1.27

2.  Payment Enclosed:  Check  
    Credit Card  
    Money Order  
    Other

**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity	Small Entity	Fee Description	Fee Paid
Fee	Fee	Fee	Fee
Code	(\$)	Code	(\$)
101	710	201	355
106	320	206	160
107	490	207	245
108	710	208	355
114	150	214	75
		Utility application filing fee	<u>710.00</u>
		Design application filing fee	_____
		Plant filing fee	_____
		Reissue filing fee	_____
		Provisional application filing fee	_____
<b>SUBTOTAL (1) \$ 710.00</b>			

**2. EXTRA CLAIM FEES**

	Extra Claims	Fee from below	Fee Paid
Total Claims 21	- 20** = 1	X 18.00	= 18.00
Independent Claims 3	- 3** = 0	X 80.00	= _____
Multiple Dependent			= _____

\*\*Or number previously paid, if greater; For Reissues, see below.

Large Entity	Small Entity	Fee Description
Fee	Fee	Fee
Code	(\$)	Code
103	18	203 9
102	80	202 40
104	270	204 135
109	80	209 40
110	18	210 9
		Claims in excess of 20
		Independent claims in excess of 3
		Multiple dependent claim, if not paid
		**Reissue independent claims over original patent
		**Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2) \$ 18.00**

**FEE CALCULATION (continued)**

**3. ADDITIONAL FEES**

<b>Large Entity</b>	<b>Small Entity</b>	<b>Fee Description</b>	<b>Fee Paid</b>
Fee Code	Fee (\$) Code	Fee (\$)	
105	130	205	65
127	50	227	25
			<b>Surcharge - late filing fee or oath</b>
			<b>Surcharge - late provisional filing fee</b>
			<b>or cover sheet</b>
139	130	139	130
147	2,520	147	2,520
112	920*	112	920*
			<b>Non-English specification</b>
			<b>For filing a request for ex parte reexamination</b>
			<b>Requesting publication of SIR prior to</b>
			<b>Examiner action</b>
113	1,840*	113	1,840*
			<b>Requesting publication of SIR after</b>
			<b>Examiner action</b>
115	110	215	55
116	390	216	195
117	890	217	445
118	1,390	218	695
128	1,890	228	945
119	310	219	155
120	310	220	155
121	270	221	135
138	1,510	138	1,510
140	110	240	55
141	1,240	241	620
142	1,240	242	620
143	440	243	220
144	600	244	300
122	130	122	130
123	50	123	50
126	180	126	180
581	40	581	40
			<b>Petition to institute a public use proceeding</b>
			<b>Petition to revive – unavoidable</b>
			<b>Petition to revive - unintentional</b>
			<b>Utility issue fee (or reissue)</b>
			<b>Design issue fee</b>
			<b>Plant issue fee</b>
			<b>Petitions to the Commissioner</b>
			<b>Processing fee under 37 CFR 1.17(q)</b>
			<b>Submission of Information Disclosure Stmt</b>
			<b>Recording each patent assignment per</b>
			<b>property (times number of properties)</b>
146	710	246	355
			<b>For filing a submission after final rejection</b>
			<b>(see 37 CFR 1.129(a))</b>
149	710	249	355
			<b>For each additional invention to be examined</b>
			<b>(see 37 CFR 1.129(b))</b>
179	710	279	355
169	900	169	900
			<b>Request for Continued Examination (RCE)</b>
			<b>Request for expedited examination of a design</b>
			<b>application</b>
195	300	195	300
			<b>Publication fee for early, voluntary, or normal</b>
			<b>publication</b>
196	300	196	300
194	130	194	130
098	130	098	130
091	1,240	091	1,240
			<b>Publication fee for republication</b>
			<b>Request for voluntary publication or republication</b>
			<b>Processing fee under 37 CFR 1.17(i)</b>
			<b>Acceptance of unintentionally delayed claim for priority</b>

Other fee (specify) \_\_\_\_\_

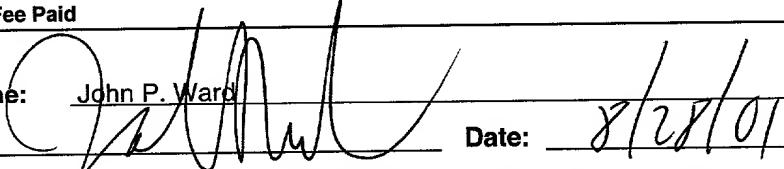
Other fee (specify) \_\_\_\_\_

**SUBTOTAL (3) \$0**

\*Reduced by Basic Filing Fee Paid

**SUBMITTED BY:**

Typed or Printed Name: John P. Ward

Signature:  Date: 8/28/01

Reg. Number: 40,216 Telephone Number: 408-720-8300

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

## EXPRESS MAIL CERTIFICATE OF MAILING

"Express Mail" mailing label number: EL672747111US  
Date of Deposit: 8/28/01

I hereby certify that I am causing this paper or fee to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that this paper or fee has been addressed to the Assistant Commissioner for Patents, Washington, D. C. 20231

(Typed or printed name of person mailing paper or fee)  
John Doe

(Signature of person mailing paper or fee)  
John Doe

(Date signed)  
8/28/01

Serial/Patent No.: \*\*\*\* Filing/Issue Date: Herewith

Client: Exigen Group

Title: METHOD AND SYSTEM FOR COMPLETING INCOMPLETE ADDRESSING DATA TO A COMMUNICATION DEVICE

BSTZ File No.: 005642-P002 Atty/Secty Initials: JPW/CAN/dkr

Date Mailed: August 28, 2001 Docket Due Date: \*\*\*\*

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

- Amendment/Response (   pgs.)  Express Mail No: EL672747111US  Check No: 44883  
 Appeal Brief (   pgs.) (in triplicate)  Month(s) Extension of Time  Amt: \$728.00  
 Application - Utility (16 pgs., with cover and abstract)  Information Disclosure Statement & PTO-149 (   pgs.)  Check No: \_\_\_\_\_  
 Application - Rule 1.53(b) Continuation (   pgs.)  Issue Fee Transmittal \_\_\_\_\_  
 Application - Rule 1.53(b) Divisional (   pgs.)  Notice of Appeal \_\_\_\_\_  
 Application - Rule 1.53(b) CIP (   pgs.)  Petition for Extension of Time \_\_\_\_\_  
 Application - Rule 1.53(d) CPA Transmittal (   pgs.)  Petition for \_\_\_\_\_  
 Application - Design (   pgs.)  Postcard \_\_\_\_\_  
 Application - PCT (   pgs.)  Power of Attorney (   pgs.)  
 Application - Provisional (   pgs.)  Preliminary Amendment (   pgs.)  
 Assignment and Cover Sheet  Reply Brief (   pgs.)  
 Certificate of Mailing Express Mail  Response to Notice of Missing Parts  
 Declaration & POA (6 pgs.) Unsigned  Small Entity Declaration for Indep. Inventor/Small Business  
 Disclosure Docs & Org & Copy of Inventor Signed Letter (   pgs.)  Transmittal Letter, in duplicate. Utility  
 Drawings: 4 # of sheets includes 4 figures  Fee Transmittal, in duplicate.

Other: \_\_\_\_\_